

**J.B. Sullivan, Inc. Retirement Plan****Enrollment Form**

Plan Number: 201222

**Section I: Personal Information**

Name:

SSN: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

Address 1:

Date of Birth: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Address 2:

Date of Hire: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

City:

Rehire: ☐ Yes ☐ No

State:

Zip:

Gender: ☐ Female ☐ Male**Section II: Deferral Elections****Choose Deferral Rate**☐ I wish to contribute the following percentage of my compensation to my Retirement Account:

\_\_\_\_\_ % of my compensation per pay period as Traditional deferral (pre-tax).

Total deferrals cannot exceed 100% of your compensation or the annual IRS limit. Other limitations may apply.

☐ I decline to make contributions to the Plan at this time.☐ I am interested in rolling over my existing 401(k) or IRA. Please contact me at \_\_\_\_\_.

**Section III: Investment Elections (Use whole percentages that total 100%)****Risk Based Portfolios**

Steele Conservative Strategy	_____ %
Steele Moderate Strategy	_____ %
Steele Balanced Strategy	_____ %
Steele Growth Strategy	_____ %
Steele Aggressive Strategy	_____ %

<b>Build Your Own Portfolio / Individual Investment Options</b>	<b>Category</b>	<b>Ticker</b>	
Schwab Investor Money Fund	Stable Value	--	_____ %
Wells Fargo Stable Value Cl J	Stable Value	--	_____ %
JPMorgan Core Bond Select	Intermediate-Term Bond	WOBDX	_____ %
Delaware Diversified Income A	Intermediate-Term Bond	DPDFX	_____ %
DoubleLine Total Return Bond N	Intermediate-Term Bond	DLTNX	_____ %
Loomis Sayles Global Bond Retail	World Bond	LSGLX	_____ %
Permanent Portfolio	Conservative Allocation	PRPFX	_____ %
Oakmark Equity & Income I	Moderate Allocation	OAKBX	_____ %
TCW Relative Value Dividend Apprec N	Large Value	TGIGX	_____ %
Doubleline Shiller Enhanced Cape I	Large Value	DSEEX	_____ %
AMG Yacktman Focused Service	Large Blend	YAFFX	_____ %
Schwab S&P 500 Index	Large Blend	SWPPX	_____ %
DFA US Core Equity 1 I	Large Blend	DFOEX	_____ %
Pioneer Fundamental Growth A	Large Growth	PIGFX	_____ %
Vanguard Mid-Cap Value Index Admiral	Mid-Cap Value	VMVAX	_____ %
Artisan Mid Cap Value Investor	Mid-Cap Value	ARTQX	_____ %
Artisan Mid Cap Investor	Mid-Cap Growth	ARTMX	_____ %
Intrepid Small Cap	Small Value	ICMAX	_____ %
DFA US Small Cap Value I	Small Value	DFSVX	_____ %
Buffalo Small Cap	Small Growth	BUFSX	_____ %
Baron Small Cap Retail	Small Growth	BSCFX	_____ %
DFA International Core Equity I	Foreign Large Value	DFIEX	_____ %
First Eagle Overseas A	Foreign Large Blend	SGOVX	_____ %
Artisan International Investor	Foreign Large Growth	ARTIX	_____ %
Driehaus Emerging Markets Growth	Diversified Emerging Mkts	DREGX	_____ %
Cohen & Steers Realty Shares	Real Estate	CSRSX	_____ %
<b>Total</b>			<b>100%</b>

**REBALANCING:** If you've selected multiple investments above, you may consider setting up recurring rebalance. This can be done by simply logging into your account, selecting Rebalance under the Transaction heading, and following the prompts. If you need assistance, please contact us at 877-297-3395.

**Section IV: Authorization**

I have been provided adequate information regarding this plan to make the elections above. I understand the contribution elections will remain in effect until I otherwise notify the Plan's Administrator and revise my contribution elections. I further understand the Investment Election made above will remain in effect until and unless I revise it using a medium approved by the Plan's Administrator.

If you do not have a beneficiary designation, please visit your Plan's Retirement Education Center (REC). Your Plan's REC can be accessed by going to [www.steelecapital.com](http://www.steelecapital.com), selecting Client Access and entering the following code under the 'Retirement Education Center' (JBS222) or contact us at 877-297-3395. If you fail to make a beneficiary designation election, your beneficiary election will default to the Plan's default beneficiary.

\_\_\_\_\_  
Participant Signature

\_\_\_\_\_  
Date

For more information about your plan's investments, including fund fact sheets and prospectus, please visit your Plan's Retirement Education Center (REC). Your Plan's REC can be accessed by going to [www.steelecapital.com](http://www.steelecapital.com), selecting Client Access and entering the following code under the 'Retirement Education Center' (JBS222). Please contact us directly at 877-297-3395 if you would like to receive paper copies of the investment information available via the REC.